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Date: March 5, 2003

Christine M. Spivey

**PATENT** 36856.559

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takeshi NAKAO et al.

Art Unit: 2834

Serial No.: 09/964,831

Examiner: T. M. Dougherty

Filed: September 27, 2001

Title: SURFACE ACOUSTIC WAVE

**DEVICE AND MANUFACTURING** 

**METHOD THEREFOR** 

## INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of two (2) references cited in the enclosed Examination Report issued in a corresponding Japanese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Japanese Examination Report from the corresponding Japanese Patent Application and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

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I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: March 5, 2003

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FORM PTO-1449 (MODIFIED				ATTY. DOCKET NO. 36856.559		SERIAL NO	SERIAL NO.: 09/964,831		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Takeshi NAKAO et al.					
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not in conformance and not considered. Include copy of this form with next communication to applicant.